



GAU-2164  
A2  
BT  
PATENT 11-05-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Kevin GUARINO et al.  
Serial No. 09/778,686  
Filed: February 7, 2001



: Atty. Docket: 600-A01-001  
: Group Art Unit: 2164  
: Confirmation No. 4092

For: SYSTEM AND METHOD FOR FACILITATING TIME AND EQUITY  
LIMITED FINANCIAL TRANSACTIONS ACROSS A COMPUTER NETWORK

INFORMATION DISCLOSURE STATEMENT

RECEIVED  
OCT 17 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D. C. 20231

SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

- XX 37 CFR 1.97(b) - No Fee.  
\_\_\_ 37 CFR 1.97(c) - No Fee, with Certification.  
\_\_\_ 37 CFR 1.97(c) - Fee.  
\_\_\_ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 50-1556.

Respectfully submitted,

Date: 10/3/01

By: Jose Gutman  
Jose Gutman  
Registration No. 35,171

FLEIT, KAIN, GIBBONS, GUTMAN & BONGINI P.L.  
One Boca Commerce Center  
551 NW 77th Street, Suite 111  
Boca Raton, Florida 33487-1330  
Telephone: (561) 989-9811  
Facsimile : (561) 989-9812

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service by first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

Ellen H. Ullman

Name of Person Mailing Paper

Ellen H. Ullman

Signature of Person Mailing Paper

10/4/01

Date of Deposit

